

Dynamic Many-Body Correlations

New developments

with C. E. Campbell, H. M. Böhm, M. Panholzer (Theory)¹
and H. Godfrin and H. J. Lauter (Experiment)²

¹Institute for Theoretical Physics Johannes Kepler University, A-4040 Linz, Austria

²Institute Laue Langevin and CNRS, Grenoble

Chinfest, March 24-26, 2009



FWF

Der Wissenschaftsfonds.

Outline

- 1 A microscopic look at quantum fluids
 - Generica
 - Correlated wave functions
- 2 Static Structure
 - Bulk fluids
 - Gaps, aerogels, dislocations
- 3 Dynamics
 - Multiparticle fluctuations and equations of motion
 - Generic self-energy
 - Diagrammatic analysis in pair approximation
- 4 Applications:
 - Bulk ^4He
 - Quasi-2D dynamics
- 5 Fermion Dynamics
 - Technicalities: “Time-dependent Hartree-Fock”
 - ^3He in 2D – the key example
- 6 Summary

The Many-Body Problem

..the way it should be solved for a **robust** theory

Microscopic Hamiltonian

$$H = \sum_i \left[-\frac{\hbar^2}{2m} \nabla_i^2 + U_{\text{ext}}(\mathbf{r}_i) \right] + \sum_{i < j} v(|\mathbf{r}_i - \mathbf{r}_j|)$$

The Many-Body Problem

..the way it should be solved for a **robust** theory

Microscopic Hamiltonian

$$H = \sum_i \left[-\frac{\hbar^2}{2m} \nabla_i^2 + U_{\text{ext}}(\mathbf{r}_i) \right] + \sum_{i < j} v(|\mathbf{r}_i - \mathbf{r}_j|)$$

- $U_{\text{ext}}(\mathbf{r}_i)$ are ion-core (or some other external) potentials

The Many-Body Problem

..the way it should be solved for a **robust** theory

Microscopic Hamiltonian

$$H = \sum_i \left[-\frac{\hbar^2}{2m} \nabla_i^2 + U_{\text{ext}}(\mathbf{r}_i) \right] + \sum_{i < j} v(|\mathbf{r}_i - \mathbf{r}_j|)$$

- $U_{\text{ext}}(\mathbf{r}_i)$ are ion-core (or some other external) potentials
- $v(|\mathbf{r}_i - \mathbf{r}_j|)$ the pair-interaction

The Many–Body Problem

..the way it should be solved for a **robust** theory

Microscopic Hamiltonian

$$H = \sum_i \left[-\frac{\hbar^2}{2m} \nabla_i^2 + U_{\text{ext}}(\mathbf{r}_i) \right] + \sum_{i < j} v(|\mathbf{r}_i - \mathbf{r}_j|)$$

- $U_{\text{ext}}(\mathbf{r}_i)$ are ion–core (or some other external) potentials
- $v(|\mathbf{r}_i - \mathbf{r}_j|)$ the pair–interaction

(Truly) ab initio methods:

The Many-Body Problem

..the way it should be solved for a **robust** theory

Microscopic Hamiltonian

$$H = \sum_i \left[-\frac{\hbar^2}{2m} \nabla_i^2 + U_{\text{ext}}(\mathbf{r}_i) \right] + \sum_{i < j} v(|\mathbf{r}_i - \mathbf{r}_j|)$$

- $U_{\text{ext}}(\mathbf{r}_i)$ are ion-core (or some other external) potentials
- $v(|\mathbf{r}_i - \mathbf{r}_j|)$ the pair-interaction

(Truly) **ab initio** methods:

- Green's functions methods
to get stuck

permitted amplitude of handwaving

Included textbook knowledge

The Many-Body Problem

..the way it should be solved for a **robust** theory

Microscopic Hamiltonian

$$H = \sum_i \left[-\frac{\hbar^2}{2m} \nabla_i^2 + U_{\text{ext}}(\mathbf{r}_i) \right] + \sum_{i < j} v(|\mathbf{r}_i - \mathbf{r}_j|)$$

- $U_{\text{ext}}(\mathbf{r}_i)$ are ion-core (or some other external) potentials
- $v(|\mathbf{r}_i - \mathbf{r}_j|)$ the pair-interaction

(Truly) **ab initio** methods:

- Green's functions methods
to get stuck
- Simulation (Monte Carlo)
to have it expensive

permitted amplitude of handwaving

Included textbook knowledge

The Many-Body Problem

..the way it should be solved for a **robust** theory

Microscopic Hamiltonian

$$H = \sum_i \left[-\frac{\hbar^2}{2m} \nabla_i^2 + U_{\text{ext}}(\mathbf{r}_i) \right] + \sum_{i < j} v(|\mathbf{r}_i - \mathbf{r}_j|)$$

- $U_{\text{ext}}(\mathbf{r}_i)$ are ion-core (or some other external) potentials
- $v(|\mathbf{r}_i - \mathbf{r}_j|)$ the pair-interaction

(Truly) **ab initio** methods:

- Green's functions methods
to get stuck
- Simulation (Monte Carlo)
to have it expensive
- Variational methods
to have simple and consistent

permitted amplitude of handwaving

Included textbook knowledge

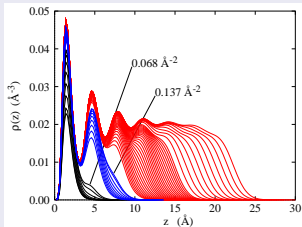
Methods: Correlated wave functions

For those who like it simple..

What looked like a “simple quick and dirty” method (Jastrow):

$$\begin{aligned}\Psi_0(1, \dots, N) &= \exp \frac{1}{2} \left[\sum_i u_1(\mathbf{r}_i) + \sum_{i < j} u_2(\mathbf{r}_i, \mathbf{r}_j) + \dots \right] \Phi_0(1, \dots, N) \\ &\equiv F(1, \dots, N) \Phi_0(1, \dots, N) \\ \Phi_0(1, \dots, N) &\quad \text{“Model wave function”}\end{aligned}$$

- An intuitive way to include **inhomogeneity**

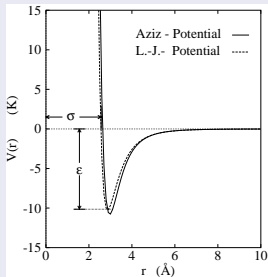


Methods: Correlated wave functions

For those who like it simple..

What looked like a “simple quick and dirty” method (Jastrow):

$$\begin{aligned}\Psi_0(1, \dots, N) &= \exp \frac{1}{2} \left[\sum_i u_1(\mathbf{r}_i) + \sum_{i < j} u_2(\mathbf{r}_i, \mathbf{r}_j) + \dots \right] \Phi_0(1, \dots, N) \\ &\equiv F(1, \dots, N) \Phi_0(1, \dots, N) \\ \Phi_0(1, \dots, N) &\quad \text{“Model wave function”}\end{aligned}$$



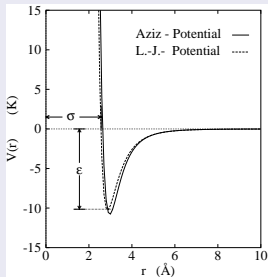
- An intuitive way to include inhomogeneity and core exclusion;

Methods: Correlated wave functions

For those who like it simple..

What looked like a “simple quick and dirty” method (Jastrow):

$$\begin{aligned}\Psi_0(1, \dots, N) &= \exp \frac{1}{2} \left[\sum_i u_1(\mathbf{r}_i) + \sum_{i < j} u_2(\mathbf{r}_i, \mathbf{r}_j) + \dots \right] \Phi_0(1, \dots, N) \\ &\equiv F(1, \dots, N) \Phi_0(1, \dots, N) \\ \Phi_0(1, \dots, N) &\quad \text{“Model wave function”}\end{aligned}$$



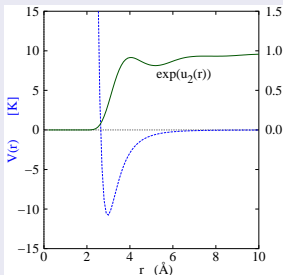
- An intuitive way to include inhomogeneity and core exclusion;
- Diagram summation methods from classical statistics (HNC, PY, BGY);

Methods: Correlated wave functions

For those who like it simple..

What looked like a “simple quick and dirty” method (Jastrow):

$$\begin{aligned}\Psi_0(1, \dots, N) &= \exp \frac{1}{2} \left[\sum_i u_1(\mathbf{r}_i) + \sum_{i < j} u_2(\mathbf{r}_i, \mathbf{r}_j) + \dots \right] \Phi_0(1, \dots, N) \\ &\equiv F(1, \dots, N) \Phi_0(1, \dots, N) \\ \Phi_0(1, \dots, N) &\quad \text{“Model wave function”}\end{aligned}$$



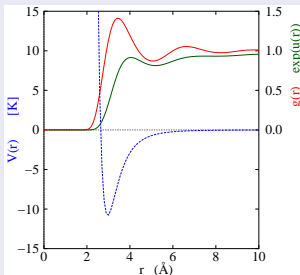
- An intuitive way to include inhomogeneity and core exclusion;
- Diagram summation methods from classical statistics (HNC, PY, BGY);
- Optimization $\delta E / \delta u_n = 0$ makes correlations unique.

Methods: Correlated wave functions

For those who like it simple..

What looked like a “simple quick and dirty” method (Jastrow):

$$\begin{aligned}\Psi_0(1, \dots, N) &= \exp \frac{1}{2} \left[\sum_i u_1(\mathbf{r}_i) + \sum_{i < j} u_2(\mathbf{r}_i, \mathbf{r}_j) + \dots \right] \Phi_0(1, \dots, N) \\ &\equiv F(1, \dots, N) \Phi_0(1, \dots, N) \\ \Phi_0(1, \dots, N) &\quad \text{“Model wave function”}\end{aligned}$$



- An intuitive way to include inhomogeneity and core exclusion;
- Diagram summation methods from classical statistics (HNC, PY, BGY);
- Optimization $\delta E / \delta u_n = 0$ makes correlations unique.
- Express everything in terms of physical observables (i.e. $g(r)$).

Two-body Euler equations: $\delta E/\delta u_2 = 0$

Summarizing its two faces

“RPA” face (Campbell, Feenberg 1969)

$$\chi^{(RPA)}(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega)}$$

$$S(\mathbf{q}) = -\frac{\hbar}{\pi} \int d\omega \Im m \chi(\mathbf{q}, \omega)$$

$$= \left[1 + 4m\tilde{V}_{p-h}(\mathbf{q})/\hbar^2 q^2 \right]^{-1/2}$$

Two-body Euler equations: $\delta E / \delta u_2 = 0$

Summarizing its two faces

“RPA” face (Campbell, Feenberg 1969)

$$\begin{aligned}\chi^{(RPA)}(\mathbf{q}, \omega) &= \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega)} \\ S(\mathbf{q}) &= -\frac{\hbar}{\pi} \int d\omega \Im m \chi(\mathbf{q}, \omega) \\ &= \left[1 + 4m\tilde{V}_{p-h}(\mathbf{q})/\hbar^2 q^2 \right]^{-1/2}\end{aligned}$$

“Bethe-Goldstone” face (Lantto, Siemens 1977)

$$\frac{\hbar^2}{m} \nabla^2 \sqrt{g(r)} = V_{p-p}(r) \sqrt{g(r)}$$

Two-body Euler equations: $\delta E / \delta u_2 = 0$

Summarizing its two faces

“RPA” face (Campbell, Feenberg 1969)

$$\begin{aligned}\chi^{(RPA)}(\mathbf{q}, \omega) &= \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega)} \\ S(\mathbf{q}) &= -\frac{\hbar}{\pi} \int d\omega \Im m \chi(\mathbf{q}, \omega) \\ &= \left[1 + 4m\tilde{V}_{p-h}(\mathbf{q})/\hbar^2 q^2 \right]^{-1/2}\end{aligned}$$

“Bethe-Goldstone” face (Lantto, Siemens 1977)

$$\frac{\hbar^2}{m} \nabla^2 \sqrt{g(r)} = V_{p-p}(r) \sqrt{g(r)}$$

“Parquet” face

Consistency between $S(\mathbf{q})$ and $g(r)$

Two-body Euler equations: $\delta E/\delta u_2 = 0$

Summarizing its two faces

“RPA” face (Campbell, Feenberg 1969)

$$\begin{aligned}\chi^{(RPA)}(\mathbf{q}, \omega) &= \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q})\chi_0(\mathbf{q}, \omega)} \\ S(\mathbf{q}) &= -\frac{\hbar}{\pi} \int d\omega \Im m \chi(\mathbf{q}, \omega) \\ &= \left[1 + 4m\tilde{V}_{p-h}(\mathbf{q})/\hbar^2 q^2 \right]^{-1/2}\end{aligned}$$

“...it appears that the optimized Jastrow function is capable of summing all rings and ladders, and partially all other diagrams, to infinite order.”

“Bethe-Goldstone” face (Lantto, Siemens 1977)

$$\frac{\hbar^2}{m} \nabla^2 \sqrt{g(r)} = V_{p-p}(r) \sqrt{g(r)}$$

H.-K. Sim, C.-W. Woo and J. R. Buchler, Phys. Rev. A2, 2024 (1970).

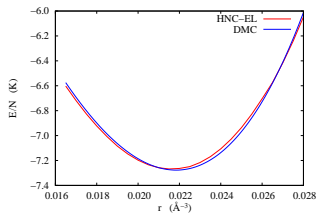
“Parquet” face

Consistency between $S(\mathbf{q})$ and $g(r)$

Application I: How well it works in the bulk

Bragbook :)

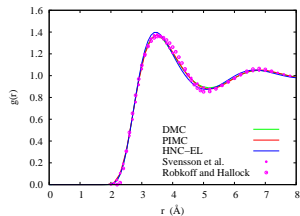
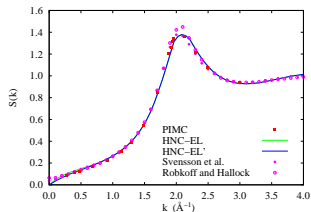
- Equation of state



Application I: How well it works in the bulk

Bragbook :)

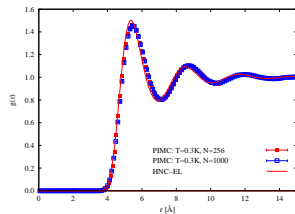
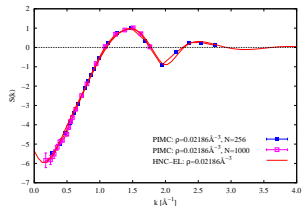
- Equation of state
- Distribution and structure functions



Application I: How well it works in the bulk

Bragbook :)

- Equation of state
- Distribution and structure functions
- Many other quantities, for example impurity properties (Example: Mg impurities, note the huge core)



Application (one of many...)

Aerogels, Gaps (work with Vesa Apaja)

- Two rigid walls at a distance

Application (one of many...)

Aerogels, Gaps (work with Vesa Apaja)

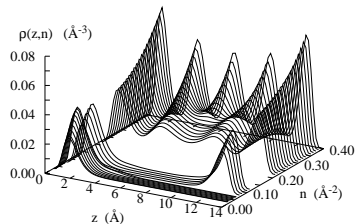
- Two rigid walls at a distance
- One inert layer of ^4He

Application (one of many...)

Aerogels, Gaps (work with Vesa Apaja)

Example: a 14 Å wide gap

- Two rigid walls at a distance
- One inert layer of ^4He
- Find configurations in the gap

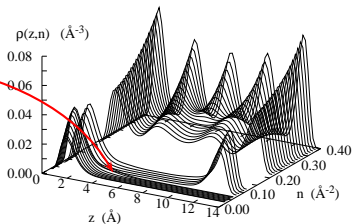


Application (one of many...)

Aerogels, Gaps (work with Vesa Apaja)

Example: a 14 Å wide gap

- Two rigid walls at a distance
- One inert layer of ^4He
- Find configurations in the gap
 - Sticking to walls

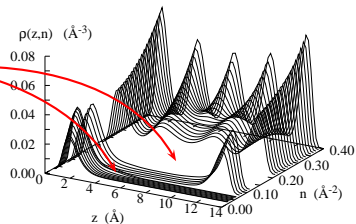


Application (one of many...)

Aerogels, Gaps (work with Vesa Apaja)

Example: a 14 Å wide gap

- Two rigid walls at a distance
- One inert layer of ^4He
- Find configurations in the gap
 - Sticking to walls
 - Capillary condensation

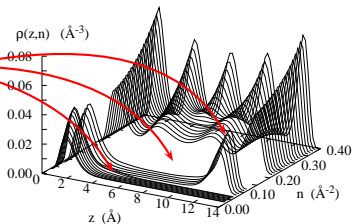


Application (one of many...)

Aerogels, Gaps (work with Vesa Apaja)

Example: a 14 Å wide gap

- Two rigid walls at a distance
- One inert layer of ^4He
- Find configurations in the gap
 - Sticking to walls
 - Capillary condensation
 - Transition from n to $n + 1$ layer configurations

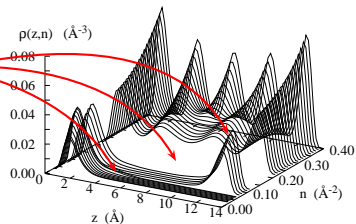


Application (one of many...)

Aerogels, Gaps (work with Vesa Apaja)

Example: a 14 Å wide gap

- Two rigid walls at a distance
- One inert layer of ^4He
- Find configurations in the gap
 - Sticking to walls
 - Capillary condensation
 - Transition from n to $n + 1$ layer configurations



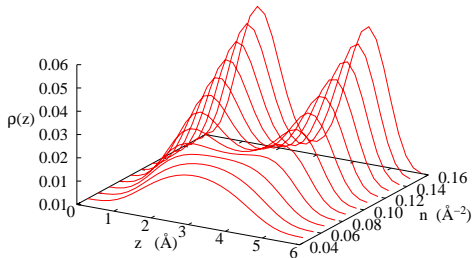
But what could one see experimentally ?

Applications:

Thin gaps, dislocations

Another example:

- Two rigid walls at double-layer distance
- Find configurations in the gap

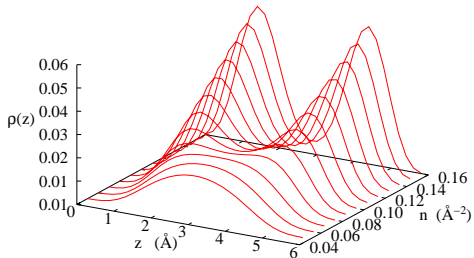


Applications:

Thin gaps, dislocations

Another example:

- Two rigid walls at double-layer distance
- Find configurations in the gap
 - Much larger local density than in bulk
 - Transition from 1 to 2 layer configurations

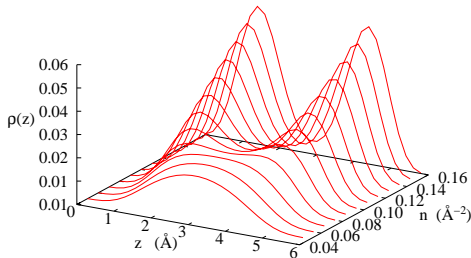


Applications:

Thin gaps, dislocations

Another example:

- Two rigid walls at double-layer distance
- Find configurations in the gap
 - Much larger local density than in bulk
 - Transition from 1 to 2 layer configurations



But what could one see experimentally ?

Dynamics – logical extension

Equations of motion

Wave function for excited states:

$$|\Psi(t)\rangle = e^{-iE_0 t/\hbar} \frac{F e^{\frac{1}{2}\delta U} |\Psi_0\rangle}{\langle \Psi_0 | e^{\frac{1}{2}\delta U^\dagger} F^\dagger F e^{\frac{1}{2}\delta U} | \Psi_0 \rangle]^{1/2}},$$

$|\Psi_0\rangle$: model ground state, $\delta U(t)$: excitation operator

Bosons:

$$\delta U(t) = \sum_i \delta u^{(1)}(\mathbf{r}_i; t) + \sum_{i < j} \delta u^{(2)}(\mathbf{r}_i, \mathbf{r}_j; t) + \dots$$

Fermions:

$$\delta U(t) = \sum_{\mathbf{p} \mathbf{h}} \delta u_{\mathbf{p}, \mathbf{h}}^{(1)}(t) a_{\mathbf{p}}^\dagger a_{\mathbf{h}} + \sum_{\mathbf{p} \mathbf{h} \mathbf{p}' \mathbf{h}'} \delta u_{\mathbf{p} \mathbf{h}, \mathbf{p}' \mathbf{h}'}^{(2)}(t) a_{\mathbf{p}}^\dagger a_{\mathbf{p}'}^\dagger a_{\mathbf{h}} a_{\mathbf{h}'} + \dots$$

Action principle:

$$\delta \mathcal{S} = \delta \int_{t_1}^{t_2} dt \left\langle \Psi(t) \left| H + U_{\text{ext}}(t) - i\hbar \frac{\partial}{\partial t} \right| \Psi(t) \right\rangle = 0.$$

Linear response:

The generic way to go

- Linearization:

$$|\Psi(t)\rangle = \delta |\Psi(t)\rangle + |\Psi_0\rangle$$

Linear response:

The generic way to go

- Linearization:

$$|\Psi(t)\rangle = \delta |\Psi(t)\rangle + |\Psi_0\rangle$$

- Induced fluctuations:

$$\delta_1 \rho(\mathbf{r}; t) \sim \langle \Psi_0 | \hat{\rho}(\mathbf{r}) | \delta \Psi(t) \rangle + \text{c.c.}$$

$$\delta_1 \mathbf{j}(\mathbf{r}; t) \sim \langle \Psi_0 | \hat{\mathbf{j}}(\mathbf{r}) | \delta \Psi(t) \rangle + \text{c.c.}$$

Linear response:

The generic way to go

- Linearization:

$$|\Psi(t)\rangle = \delta |\Psi(t)\rangle + |\Psi_0\rangle$$

- Induced fluctuations:

$$\delta_1 \rho(\mathbf{r}; t) \sim \langle \Psi_0 | \hat{\rho}(\mathbf{r}) | \delta \Psi(t) \rangle + \text{c.c.}$$

$$\delta_1 \mathbf{j}(\mathbf{r}; t) \sim \langle \Psi_0 | \hat{\mathbf{j}}(\mathbf{r}) | \delta \Psi(t) \rangle + \text{c.c.}$$

- Dynamic response function:

$$\delta \rho(\mathbf{r}, \omega) = \int d^3 r' \chi(\mathbf{r}, \mathbf{r}'; \omega) U_{\text{ext}}(\mathbf{r}', \omega)$$

Linear response:

The generic way to go

- Linearization:

$$|\Psi(t)\rangle = \delta |\Psi(t)\rangle + |\Psi_0\rangle$$

- Induced fluctuations:

$$\delta_1 \rho(\mathbf{r}; t) \sim \langle \Psi_0 | \hat{\rho}(\mathbf{r}) | \delta \Psi(t) \rangle + \text{c.c.}$$

$$\delta_1 \mathbf{j}(\mathbf{r}; t) \sim \langle \Psi_0 | \hat{\mathbf{j}}(\mathbf{r}) | \delta \Psi(t) \rangle + \text{c.c.}$$

- Dynamic response function:

$$\delta \rho(\mathbf{r}, \omega) = \int d^3 r' \chi(\mathbf{r}, \mathbf{r}'; \omega) U_{\text{ext}}(\mathbf{r}', \omega)$$

- Dynamic structure function:

$$S(\mathbf{r}, \mathbf{r}'; \omega) = -\frac{1}{\pi} \Im m \chi(\mathbf{r}, \mathbf{r}'; \omega)$$

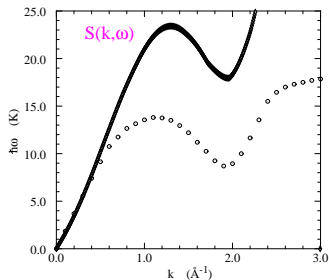
Rationalization of multiparticle fluctuations

- “Feynman approximation”

$\delta u^{(2)}(\mathbf{r}, \mathbf{r}') = 0$ leads to

$$\hbar\omega(k) = \frac{\hbar^2 k^2}{2mS(k)} :$$

off by a factor of two;



Rationalization of multiparticle fluctuations

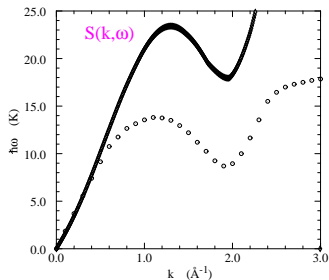
- “Feynman approximation”

$\delta u^{(2)}(\mathbf{r}, \mathbf{r}') = 0$ leads to

$$\hbar\omega(k) = \frac{\hbar^2 k^2}{2mS(k)} :$$

off by a factor of two;

- One-body fluctuations are **insufficient** to understand the excitations in ^4He ;



Rationalization of multiparticle fluctuations

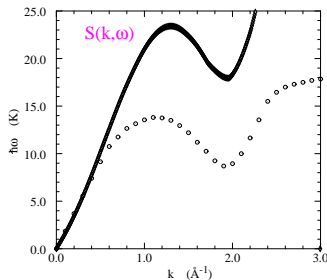
- “Feynman approximation”

$\delta U^{(2)}(\mathbf{r}, \mathbf{r}') = 0$ leads to

$$\hbar\omega(k) = \frac{\hbar^2 k^2}{2mS(k)} :$$

off by a factor of two;

- One-body fluctuations are **insufficient** to understand the excitations in ^4He ;
- Pair fluctuations should become important if the wavelength of excitations is comparable to inter-particle distance.



Rationalization of multiparticle fluctuations

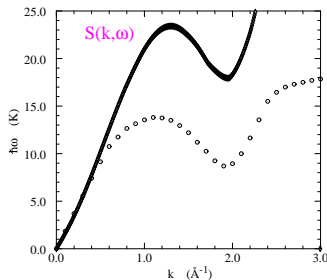
- “Feynman approximation”

$\delta U^{(2)}(\mathbf{r}, \mathbf{r}') = 0$ leads to

$$\hbar\omega(k) = \frac{\hbar^2 k^2}{2mS(k)} :$$

off by a factor of two;

- One-body fluctuations are **insufficient** to understand the excitations in ^4He ;
- Pair fluctuations should become important if the wavelength of excitations is comparable to inter-particle distance.
- Expect a similar effect in ^3He .



Boson equations of motion

Where the hard work begins – sorry for becoming technical

- Include pair **and triplet** fluctuations $\delta U^{(n)}(\mathbf{r}_1, \dots, \mathbf{r}_n)$, $n = 1..3$

Boson equations of motion

Where the hard work begins – sorry for becoming technical

- Include pair **and triplet** fluctuations $\delta u^{(n)}(\mathbf{r}_1, \dots, \mathbf{r}_n)$, $n = 1..3$
- Calculate density fluctuation:

$$\begin{aligned}\delta\rho_1(\mathbf{r}; t) &= \int d^3r_1 \frac{\delta\rho_1(\mathbf{r})}{\delta u_1(\mathbf{r}_1)} \delta u_1(\mathbf{r}_1; t) \\ &+ \int d^3r_1 d^3r_2 \frac{\delta\rho_1(\mathbf{r})}{\delta u_2(\mathbf{r}_1, \mathbf{r}_2)} \delta u_2(\mathbf{r}_1, \mathbf{r}_2; t) \\ &+ \int d^3r_1 d^3r_2 d^3r_3 \frac{\delta\rho_1(\mathbf{r})}{\delta u_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)} \delta u_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3; t) .\end{aligned}$$

Boson equations of motion

Where the hard work begins – sorry for becoming technical

- Include pair **and triplet** fluctuations $\delta u^{(n)}(\mathbf{r}_1, \dots, \mathbf{r}_n)$, $n = 1..3$
- Calculate density fluctuation:

$$\begin{aligned}\delta \rho_1(\mathbf{r}; t) &= \int d^3 r_1 \frac{\delta \rho_1(\mathbf{r})}{\delta u_1(\mathbf{r}_1)} \delta u_1(\mathbf{r}_1; t) \\ &+ \int d^3 r_1 d^3 r_2 \frac{\delta \rho_1(\mathbf{r})}{\delta u_2(\mathbf{r}_1, \mathbf{r}_2)} \delta u_2(\mathbf{r}_1, \mathbf{r}_2; t) \\ &+ \int d^3 r_1 d^3 r_2 d^3 r_3 \frac{\delta \rho_1(\mathbf{r})}{\delta u_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)} \delta u_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3; t) .\end{aligned}$$

- **The key step:** Define $\delta v_1(\mathbf{r}; t)$ by

$$\delta \rho_1(\mathbf{r}; t) \equiv \int d^3 r_1 \frac{\delta \rho_1(\mathbf{r})}{\delta u_1(\mathbf{r}_1)} \delta v_1(\mathbf{r}_1; t)$$

Boson equations of motion

Where the hard work begins – sorry for becoming technical

- Include pair **and triplet** fluctuations $\delta u^{(n)}(\mathbf{r}_1, \dots, \mathbf{r}_n)$, $n = 1..3$
- Calculate density fluctuation:

$$\begin{aligned}\delta \rho_1(\mathbf{r}; t) &= \int d^3 r_1 \frac{\delta \rho_1(\mathbf{r})}{\delta u_1(\mathbf{r}_1)} \delta u_1(\mathbf{r}_1; t) \\ &+ \int d^3 r_1 d^3 r_2 \frac{\delta \rho_1(\mathbf{r})}{\delta u_2(\mathbf{r}_1, \mathbf{r}_2)} \delta u_2(\mathbf{r}_1, \mathbf{r}_2; t) \\ &+ \int d^3 r_1 d^3 r_2 d^3 r_3 \frac{\delta \rho_1(\mathbf{r})}{\delta u_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)} \delta u_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3; t) .\end{aligned}$$

- **The key step:** Define $\delta v_1(\mathbf{r}; t)$ by

$$\delta \rho_1(\mathbf{r}; t) \equiv \int d^3 r_1 \frac{\delta \rho_1(\mathbf{r})}{\delta u_1(\mathbf{r}_1)} \delta v_1(\mathbf{r}_1; t)$$

- Invert the relationship in terms of “direct correlation functions”.

Same thing for pair fluctuations:

$$\begin{aligned}\delta g_2(\mathbf{r}, \mathbf{r}'; t) &= \int d^3 r_1 \frac{\delta g_2(\mathbf{r}, \mathbf{r}')}{\delta u_1(\mathbf{r}_1)} \delta u_1(\mathbf{r}_1; t) \\ &+ \int d^3 r_1 d^3 r_2 \frac{\delta g_2(\mathbf{r}, \mathbf{r}')}{\delta u_2(\mathbf{r}_1, \mathbf{r}_2)} \delta u_2(\mathbf{r}_1, \mathbf{r}_2; t) \\ &+ \int d^3 r_1 d^3 r_2 d^3 r_3 \frac{\delta g_2(\mathbf{r}, \mathbf{r}')}{\delta u_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)} \delta u_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3; t) \\ &\equiv \int d^3 r_1 \frac{\delta g_2(\mathbf{r}, \mathbf{r}')}{\delta v_1(\mathbf{r}_1)} \delta v_1(\mathbf{r}_1; t) \\ &+ \int d^3 r_1 d^3 r_2 \frac{\delta g_2(\mathbf{r}, \mathbf{r}')}{\delta u_2(\mathbf{r}_1, \mathbf{r}_2)} \delta v_2(\mathbf{r}_1, \mathbf{r}_2; t) .\end{aligned}$$

Same thing for pair fluctuations:

$$\begin{aligned}\delta g_2(\mathbf{r}, \mathbf{r}'; t) &= \int d^3 r_1 \frac{\delta g_2(\mathbf{r}, \mathbf{r}')}{\delta u_1(\mathbf{r}_1)} \delta u_1(\mathbf{r}_1; t) \\ &+ \int d^3 r_1 d^3 r_2 \frac{\delta g_2(\mathbf{r}, \mathbf{r}')}{\delta u_2(\mathbf{r}_1, \mathbf{r}_2)} \delta u_2(\mathbf{r}_1, \mathbf{r}_2; t) \\ &+ \int d^3 r_1 d^3 r_2 d^3 r_3 \frac{\delta g_2(\mathbf{r}, \mathbf{r}')}{\delta u_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)} \delta u_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3; t) \\ &\equiv \int d^3 r_1 \frac{\delta g_2(\mathbf{r}, \mathbf{r}')}{\delta v_1(\mathbf{r}_1)} \delta v_1(\mathbf{r}_1; t) \\ &+ \int d^3 r_1 d^3 r_2 \frac{\delta g_2(\mathbf{r}, \mathbf{r}')}{\delta u_2(\mathbf{r}_1, \mathbf{r}_2)} \delta v_2(\mathbf{r}_1, \mathbf{r}_2; t) .\end{aligned}$$

Invert the relationship in terms of “direct correlation functions”.

Lagrangian in the new variables:

$$\begin{aligned}\mathcal{L}(t) &= \left\langle \Psi(t) \left| H + U_{\text{ext}}(t) - i\hbar \frac{\partial}{\partial t} \right| \Psi(t) \right\rangle \\ &= \mathcal{L}_{\text{ext}}(t) + \mathcal{L}_t(t) + \mathcal{L}_{\text{int}}(t)\end{aligned}$$

Equations of motion:

$$\frac{\delta \mathcal{L}}{\delta v_n^*}(\mathbf{r}_1, \dots, \mathbf{r}_n; t) = 0$$

External Field term:

$$\mathcal{L}_{\text{ext}}(t) = \int d^3r U_{\text{ext}}(\mathbf{r}) \delta \rho_1(\mathbf{r}; t) = \Re e \left[\int d^3r U_{\text{ext}}(\mathbf{r}) \frac{\delta \rho_1(\mathbf{r})}{\delta u_1(\mathbf{r}')} \delta v_1(\mathbf{r}'; t) \right]$$

The external field term contributes only to the one-body equation !

Lagrangian in the new coordinates:

Time-derivative Term:

$$\mathcal{L}_t(t) = -\frac{i\hbar}{4} \left[\langle \Psi_0 | \delta U^* \delta \dot{U} | \Psi_0 \rangle - \langle \Psi_0 | \delta U^* | \Psi_0 \rangle \langle \Psi_0 | \delta \dot{U} | \Psi_0 \rangle \right]$$

$$\frac{\delta \mathcal{L}_t(t)}{\delta v_n^*(\mathbf{r}_1, \dots, \mathbf{r}_n; t)} = \frac{i\hbar}{4n!} \int d^3 r'_1 \dots d^3 r'_n \frac{\delta \rho_n(\mathbf{r}_1, \dots, \mathbf{r}_n)}{\delta u_n(\mathbf{r}'_1, \dots, \mathbf{r}'_n)} \delta \dot{v}_n(\mathbf{r}'_1, \dots, \mathbf{r}'_n; t).$$

The time-derivative term is *diagonal* in the new variables

Current: For Jastrow-correlations, $\mathbf{j}(\mathbf{r}; t)$ does not depend on $\delta v_3(\mathbf{r}_i; t)$:

$$\frac{\mathbf{j}(\mathbf{r}; t)}{\rho_1(\mathbf{r})} = \frac{\hbar}{2mi} \left[\nabla \delta v_1(\mathbf{r}; t) - \frac{1}{2} \int d^3 r_1 d^3 r_2 \delta v_2(\mathbf{r}_1, \mathbf{r}_2; t) \nabla_{\mathbf{r}} \frac{\delta \rho_2(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r})} \right]$$

even if fluctuating triplet correlations are included.

Lagrangian in the new coordinates:

Interaction term

$$\mathcal{L}_{int}(t) = \frac{m}{2} \int d^3\rho |\mathbf{v}(\mathbf{r}; t)|^2 + \sum_{i,j=2}^3 \mathcal{L}_{int}^{(ij)}(t)$$

- Velocity field $\mathbf{v}(\mathbf{r}; t) = \mathbf{j}(\mathbf{r}; t)/\rho(\mathbf{r})$ does not depend on δv_3 .
- $\mathcal{L}_{int}^{(ij)}(t)$ is a symmetric quadratic form of δv_2 and δv_3 .
- Two- and three-body equations:

$$\begin{aligned} & \rho_1(\mathbf{r}_1)\rho_1(\mathbf{r}_2) [\mathcal{E}_{22}(\omega) * \delta v_2(\omega) + \mathcal{E}_{23} * \delta v_3(\omega)] (\mathbf{r}_1, \mathbf{r}_2; \omega) \\ &= i\hbar \int d^3r_3 \mathbf{j}(\mathbf{r}_3; \omega) \cdot \nabla_{\mathbf{r}_3} \frac{\delta \rho(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)} \\ 0 &= \rho_1(\mathbf{r}_1)\rho_1(\mathbf{r}_2) [\mathcal{E}_{32} * \delta v_2(\omega) + \mathcal{E}_{33}(\omega) * \delta v_3(\omega)] (\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3; \omega) \end{aligned}$$

- \Rightarrow External field appears only in the one-body equation

General pair equation:

Starting point for approximations

General pair equation:

$$[\mathcal{E}(\omega) * \delta v_2(\omega)](\mathbf{r}_1, \mathbf{r}_2; \omega) = \frac{i\hbar}{\rho_1^2} \int d^3 r_3 \mathbf{j}(\mathbf{r}_3; \omega) \cdot \nabla_{\mathbf{r}_3} \frac{\delta \rho_2(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)}$$

$$\mathcal{E}(\omega) = \mathcal{E}_{22}(\omega) - \mathcal{E}_{23} * \mathcal{E}_{33}^{-1}(\omega) * \mathcal{E}_{32}$$

$$\mathcal{E}_{ii}(\omega) = \mathcal{T}_{ii} - \hbar\omega \mathcal{G}_{ii} \quad i = 1, 2$$

Note: \mathcal{E}_{23} is energy independent due to choice of variables $\delta v_i(\mathbf{r}_1, \dots; t)$.

General structure of the solution

After lengthy algebra. . .

Response function

$$\chi(\mathbf{q}, \omega) = [G(\mathbf{q}, \omega) + G^*(\mathbf{q}, -\omega)]$$

General structure of the solution

After lengthy algebra. . .

Response function

$$\chi(\mathbf{q}, \omega) = [G(\mathbf{q}, \omega) + G^*(\mathbf{q}, -\omega)]$$

Phonon propagator

$$G(\mathbf{q}, \omega) = S(q) [\hbar\omega + i\eta - \Sigma(\mathbf{q}, \omega)]^{-1}$$

General structure of the solution

After lengthy algebra. . .

Response function

$$\chi(\mathbf{q}, \omega) = [G(\mathbf{q}, \omega) + G^*(\mathbf{q}, -\omega)]$$

Phonon propagator

$$G(\mathbf{q}, \omega) = S(q) [\hbar\omega + i\eta - \Sigma(\mathbf{q}, \omega)]^{-1}$$

Self-energy

$$\Sigma(\mathbf{q}, \omega) = \varepsilon(q) - \frac{1}{2} \sum_{\mathbf{q}_i} \langle \mathbf{q} | V^{(3)} | \mathbf{q}_1, \mathbf{q}_2 \rangle \mathcal{E}^{-1}(\omega) \langle \mathbf{q}'_1 \mathbf{q}'_2 | V^{(3)} | \mathbf{q} \rangle$$

The only freedom is how to calculate the ingredients

Sum rules

some rigorous conclusions

General structure of the energy denominator:

$$\mathcal{E}(\omega) = \mathcal{E}_{22}(\omega) - \mathcal{E}_{23} * \mathcal{E}_{33}^{-1}(\omega) * \mathcal{E}_{32} = \mathcal{E}_{22}(\omega) + \mathcal{O}(\omega^{-1})$$

$$\mathcal{E}_{ij}(\omega) = T_{ij} - \hbar\omega\mathcal{G}_{ij} \quad i = 2, 3$$

Sum rules

some rigorous conclusions

General structure of the energy denominator:

$$\mathcal{E}(\omega) = \mathcal{E}_{22}(\omega) - \mathcal{E}_{23} * \mathcal{E}_{33}^{-1}(\omega) * \mathcal{E}_{32} = \mathcal{E}_{22}(\omega) + \mathcal{O}(\omega^{-1})$$

$$\mathcal{E}_{ii}(\omega) = \mathcal{T}_{ii} - \hbar\omega\mathcal{G}_{ii} \quad i = 2, 3$$

Therefore

$$\begin{aligned} \chi^{1-body}(\mathbf{q}; \omega) &\sim \omega^{-2} \quad \text{as } \omega \rightarrow \infty \\ \chi^{2-body}(\mathbf{q}; \omega) - \chi^{1-body}(\mathbf{q}; \omega) &\sim \omega^{-4} \quad \text{as } \omega \rightarrow \infty \\ \chi^{3-body}(\mathbf{q}; \omega) - \chi^{2-body}(\mathbf{q}; \omega) &\sim \omega^{-6} \quad \text{as } \omega \rightarrow \infty. \end{aligned}$$

Sum rules

some rigorous conclusions

General structure of the energy denominator:

$$\begin{aligned}\mathcal{E}(\omega) &= \mathcal{E}_{22}(\omega) - \mathcal{E}_{23} * \mathcal{E}_{33}^{-1}(\omega) * \mathcal{E}_{32} = \mathcal{E}_{22}(\omega) + \mathcal{O}(\omega^{-1}) \\ \mathcal{E}_{ij}(\omega) &= \mathcal{T}_{ij} - \hbar\omega\mathcal{G}_{ij} \quad i = 2, 3\end{aligned}$$

Therefore

$$\begin{aligned}\chi^{1-body}(\mathbf{q}; \omega) &\sim \omega^{-2} \quad \text{as } \omega \rightarrow \infty \\ \chi^{2-body}(\mathbf{q}; \omega) - \chi^{1-body}(\mathbf{q}; \omega) &\sim \omega^{-4} \quad \text{as } \omega \rightarrow \infty \\ \chi^{3-body}(\mathbf{q}; \omega) - \chi^{2-body}(\mathbf{q}; \omega) &\sim \omega^{-6} \quad \text{as } \omega \rightarrow \infty.\end{aligned}$$

Sum rules up to ω^3 are exactly satisfied already in pair fluctuation approximation.

Sum rules

some rigorous conclusions

General structure of the energy denominator:

$$\begin{aligned}\mathcal{E}(\omega) &= \mathcal{E}_{22}(\omega) - \mathcal{E}_{23} * \mathcal{E}_{33}^{-1}(\omega) * \mathcal{E}_{32} = \mathcal{E}_{22}(\omega) + \mathcal{O}(\omega^{-1}) \\ \mathcal{E}_{ij}(\omega) &= \mathcal{T}_{ij} - \hbar\omega\mathcal{G}_{ij} \quad i = 2, 3\end{aligned}$$

Therefore

$$\begin{aligned}\chi^{1-body}(\mathbf{q}; \omega) &\sim \omega^{-2} \quad \text{as } \omega \rightarrow \infty \\ \chi^{2-body}(\mathbf{q}; \omega) - \chi^{1-body}(\mathbf{q}; \omega) &\sim \omega^{-4} \quad \text{as } \omega \rightarrow \infty \\ \chi^{3-body}(\mathbf{q}; \omega) - \chi^{2-body}(\mathbf{q}; \omega) &\sim \omega^{-6} \quad \text{as } \omega \rightarrow \infty.\end{aligned}$$

Sum rules up to ω^3 are exactly satisfied already in pair fluctuation approximation.

Note the consequences for the ground state theory !

General structure of the solution

Where it all boils down to

Self-energy

$$\Sigma(\mathbf{q}, \omega) = \varepsilon(q) - \frac{1}{2} \sum_{\mathbf{q}_i} \langle \mathbf{q} | V^{(3)} | \mathbf{q}_1, \mathbf{q}_2 \rangle \mathcal{E}^{-1}(\omega) \langle \mathbf{q}'_1 \mathbf{q}'_2 | V^{(3)} | \mathbf{q} \rangle$$

The only freedom is how to calculate the ingredients

Hierarchy of approximations:

- ‘Uniform Limit Approximation’-approximation: $\mathcal{E}(\omega)$ is diagonal in momentum space (not limited to pair fluctuations).
- Pair-Approximation: $\mathcal{E}(\omega) = \mathcal{E}_{22}(\omega) = \mathcal{T}_{22} - \hbar\omega\mathcal{G}_{22}$
- Full HNC+”elementaries”+”triplets” implementation of the pair theory

Note: “Uniform-Limit” + “pair-approximation” = BW-CBF result by Jackson, Feenberg, Campbell

Diagrammatic analysis

General “energy denominator”

$$\mathcal{E}_{22}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}'_1, \mathbf{r}'_2; \hbar\omega) = -\hbar\omega \mathcal{G}_{22}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}'_1, \mathbf{r}'_2) \\ - \frac{\hbar^2}{2\rho_1 m} \nabla_1 \cdot [\delta(\mathbf{r}_1 - \mathbf{r}'_1) \mathcal{F}_{22}(\mathbf{r}_1; \mathbf{r}_2, \mathbf{r}'_2)] \nabla'_1 + \{1, 1'\} \leftrightarrow \{2, 2'\}$$

Diagrammatic analysis

General “energy denominator”

$$\mathcal{E}_{22}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}'_1, \mathbf{r}'_2; \hbar\omega) = -\hbar\omega \mathcal{G}_{22}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}'_1, \mathbf{r}'_2) \\ - \frac{\hbar^2}{2\rho_1 m} \nabla_1 \cdot [\delta(\mathbf{r}_1 - \mathbf{r}'_1) \mathcal{F}_{22}(\mathbf{r}_1; \mathbf{r}_2, \mathbf{r}'_2)] \nabla'_1 + \{1, 1'\} \leftrightarrow \{2, 2'\}$$

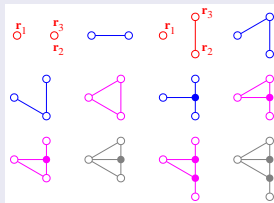
“Kinetic energy”

$$\mathcal{F}_{22}(\mathbf{r}_1; \mathbf{r}_2, \mathbf{r}_3) = g_2(\mathbf{r}_1, \mathbf{r}_2) \frac{\delta(\mathbf{r}_2 - \mathbf{r}_3)}{\rho_1} + g_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) - g_2(\mathbf{r}_1, \mathbf{r}_2) g_2(\mathbf{r}_1, \mathbf{r}_3)$$

Long-wavelength property:

$$\rho_1 \int d^3 r_2 \mathcal{F}_{22}(\mathbf{r}_1; \mathbf{r}_2, \mathbf{r}_3) = 0$$

group **like-colored diagrams !**



Diagrammatic analysis

General “energy denominator”

$$\mathcal{E}_{22}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}'_1, \mathbf{r}'_2; \hbar\omega) = -\hbar\omega \mathcal{G}_{22}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}'_1, \mathbf{r}'_2) - \frac{\hbar^2}{2\rho_1 m} \nabla_1 \cdot [\delta(\mathbf{r}_1 - \mathbf{r}'_1) \mathcal{F}_{22}(\mathbf{r}_1; \mathbf{r}_2, \mathbf{r}'_2)] \nabla'_1 + \{1, 1'\} \leftrightarrow \{2, 2'\}$$

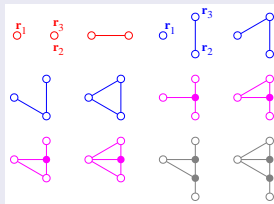
“Kinetic energy”

$$\mathcal{F}_{22}(\mathbf{r}_1; \mathbf{r}_2, \mathbf{r}_3) = g_2(\mathbf{r}_1, \mathbf{r}_2) \frac{\delta(\mathbf{r}_2 - \mathbf{r}_3)}{\rho_1} + g_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) - g_2(\mathbf{r}_1, \mathbf{r}_2) g_2(\mathbf{r}_1, \mathbf{r}_3)$$

Short-distance property:

$$\mathcal{F}_{22}(\mathbf{r}_1; \mathbf{r}_2, \mathbf{r}_3) \rightarrow 0 \text{ as } |\mathbf{r}_1 - \mathbf{r}_{\{2,3\}}| \rightarrow 0$$

group **like-colored** diagrams !



Diagrammatic analysis

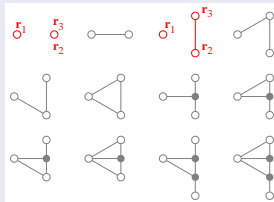
General “energy denominator”

$$\mathcal{E}_{22}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}'_1, \mathbf{r}'_2; \hbar\omega) = -\hbar\omega \mathcal{G}_{22}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}'_1, \mathbf{r}'_2) - \frac{\hbar^2}{2\rho_1 m} \nabla_1 \cdot [\delta(\mathbf{r}_1 - \mathbf{r}'_1) \mathcal{F}_{22}(\mathbf{r}_1; \mathbf{r}_2, \mathbf{r}'_2)] \nabla'_1 + \{1, 1'\} \leftrightarrow \{2, 2'\}$$

“Kinetic energy”

$$\mathcal{F}_{22}(\mathbf{r}_1; \mathbf{r}_2, \mathbf{r}_3) = g_2(\mathbf{r}_1, \mathbf{r}_2) \frac{\delta(\mathbf{r}_2 - \mathbf{r}_3)}{\rho_1} + g_3(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) - g_2(\mathbf{r}_1, \mathbf{r}_2) g_2(\mathbf{r}_1, \mathbf{r}_3)$$

- Must sum infinitely many diagrams !
- “Convolution dapproximation” omits all but two
- Similar analysis for $\mathcal{G}_{22}(\mathbf{r}_1, \mathbf{r}_2; \mathbf{r}'_1, \mathbf{r}'_2)$



Diagrammatic analysis

The driving term:

$$\frac{1}{\rho_1^2} \frac{\delta \rho_2(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)} = \frac{1}{\rho_1} g(\mathbf{r}_1 - \mathbf{r}_2) [\delta(\mathbf{r}_1 - \mathbf{r}_3) + \delta(\mathbf{r}_2 - \mathbf{r}_3)] + \frac{\delta g(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)}$$

Defined in terms of multiparticle densities !

Diagrammatic analysis

The driving term:

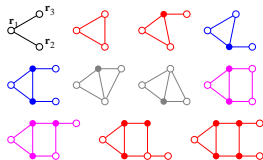
$$\frac{1}{\rho_1^2} \frac{\delta \rho_2(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)} = \frac{1}{\rho_1} g(\mathbf{r}_1 - \mathbf{r}_2) [\delta(\mathbf{r}_1 - \mathbf{r}_3) + \delta(\mathbf{r}_2 - \mathbf{r}_3)] + \frac{\delta g(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)}$$

Defined in terms of multiparticle densities !

Long-wavelength property:

$$\rho_1 \int d^3 r_1 \frac{\delta g(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)} = -[g(\mathbf{r}_2, \mathbf{r}_3) - 1]$$

group like-colored diagrams !



Diagrammatic analysis

The driving term:

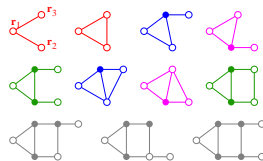
$$\frac{1}{\rho_1^2} \frac{\delta \rho_2(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)} = \frac{1}{\rho_1} g(\mathbf{r}_1 - \mathbf{r}_2) [\delta(\mathbf{r}_1 - \mathbf{r}_3) + \delta(\mathbf{r}_2 - \mathbf{r}_3)] + \frac{\delta g(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)}$$

Defined in terms of multiparticle densities !

Short-distance property:

$$\frac{\delta g(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)} \rightarrow 0 \text{ as } |\mathbf{r}_1 - \mathbf{r}_2| \rightarrow 0$$

group like-colored diagrams !



Diagrammatic analysis

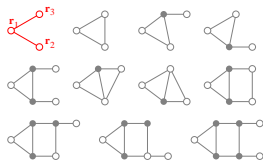
The driving term:

$$\frac{1}{\rho_1^2} \frac{\delta \rho_2(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)} = \frac{1}{\rho_1} g(\mathbf{r}_1 - \mathbf{r}_2) [\delta(\mathbf{r}_1 - \mathbf{r}_3) + \delta(\mathbf{r}_2 - \mathbf{r}_3)] + \frac{\delta g(\mathbf{r}_1, \mathbf{r}_2)}{\delta \rho_1(\mathbf{r}_3)}$$

Defined in terms of multiparticle densities !

Summarizing:

- Must sum infinitely many diagrams !
- “Convolution approximation” keeps only the first
- Triplets must be added

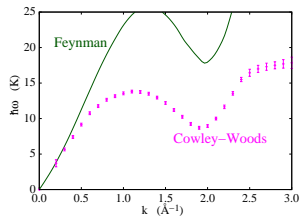


Bulk ^4He

One and two-phonon excitations

RPA: $\delta u_{2..}(\mathbf{r}_i, \mathbf{r}_j, \dots; t) = 0$

- Correct long wavelength limit;

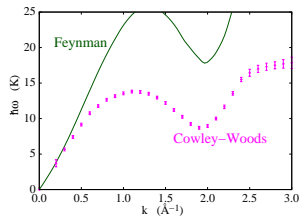


Bulk ^4He

One and two-phonon excitations

RPA: $\delta u_{2..}(\mathbf{r}_i, \mathbf{r}_j, \dots; t) = 0$

- Correct long wavelength limit;
- No multi-phonon processes;



Bulk ^4He

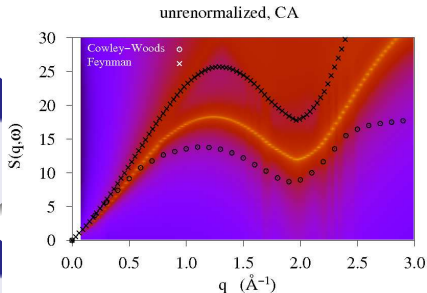
One and two-phonon excitations

RPA: $\delta u_{2..}(\mathbf{r}_i, \mathbf{r}_j, \dots; t) = 0$

- Correct long wavelength limit;
- No multi-phonon processes;

Pair fluctuations:

- Includes “Phonon-splitting”;



Bulk ^4He

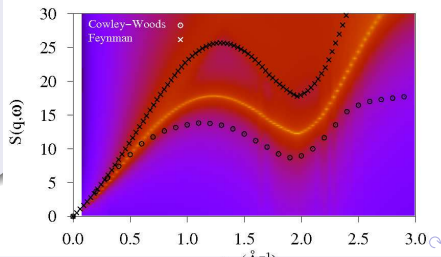
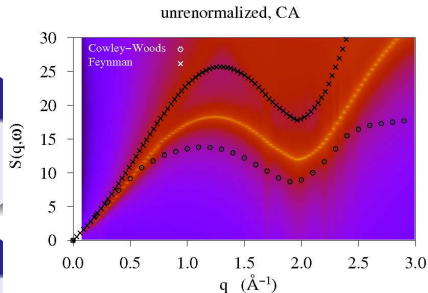
One and two-phonon excitations

RPA: $\delta U_{2..}(\mathbf{r}_i, \mathbf{r}_j, \dots; t) = 0$

- Correct long wavelength limit;
- No multi-phonon processes;

Pair fluctuations:

- Includes “Phonon-splitting”;
- “All the works” just as good (or bad) as CA !



Bulk ^4He

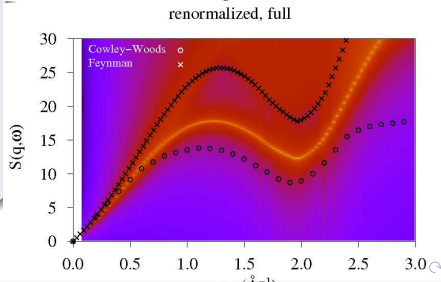
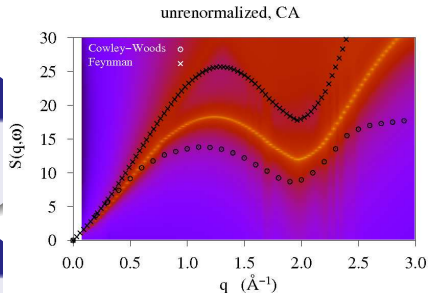
One and two-phonon excitations

RPA: $\delta u_{2..}(\mathbf{r}_i, \mathbf{r}_j, \dots; t) = 0$

- Correct long wavelength limit;
- No multi-phonon processes;

Pair fluctuations:

- Includes “Phonon-splitting”;
- “All the works” just as good (or bad) as CA !
- CA will do for most purposes



Bulk ^4He

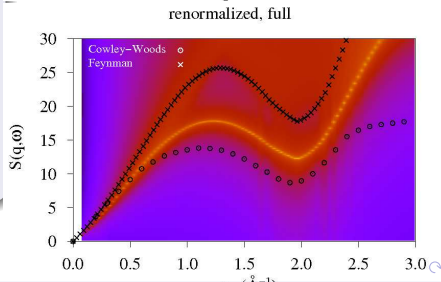
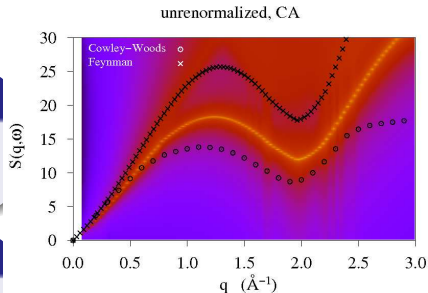
One and two-phonon excitations

RPA: $\delta u_{2..}(\mathbf{r}_i, \mathbf{r}_j, \dots; t) = 0$

- Correct long wavelength limit;
- No multi-phonon processes;

Pair fluctuations:

- Includes “Phonon-splitting”;
- “All the works” just as good (or bad) as CA !
- CA will do for most purposes
- “Plateau” still missing



Going beyond:

Three-phonon excitations

- Include triplet fluctuations $\delta u^{(3)}(\mathbf{r}, \mathbf{r}', \mathbf{r}'')$

Going beyond:

Three-phonon excitations

- Include triplet fluctuations $\delta u^{(3)}(\mathbf{r}, \mathbf{r}', \mathbf{r}'')$
- “Uniform limit” is sufficient !

Going beyond:

Three-phonon excitations

- Include triplet fluctuations $\delta U^{(3)}(\mathbf{r}, \mathbf{r}', \mathbf{r}'')$
- “Uniform limit” is sufficient !
- Technical details complicated and messy, but we get a plausible expression:

$$\Sigma^{(3)}(\mathbf{q}, \omega) = \varepsilon(q) - \frac{1}{2} \sum_{\mathbf{q}_1 \mathbf{q}_2} \frac{|\langle \mathbf{q} | V^{(3)} | \mathbf{q}_1, \mathbf{q}_2 \rangle|^2}{\Sigma^{(2)}(q_1, \omega - \varepsilon(q_2)) + \Sigma^{(2)}(q_2, \omega - \varepsilon(q_1)) - \hbar\omega}$$

Going beyond:

Three-phonon excitations

- Include triplet fluctuations $\delta u^{(3)}(\mathbf{r}, \mathbf{r}', \mathbf{r}'')$
- “Uniform limit” is sufficient !
- Technical details complicated and messy, but we get a plausible expression:

$$\Sigma^{(2)}(\mathbf{q}, \omega) = \varepsilon(q) - \frac{1}{2} \sum_{\mathbf{q}_1 \mathbf{q}_2} \frac{|\langle \mathbf{q} | V^{(3)} | \mathbf{q}_1, \mathbf{q}_2 \rangle|^2}{\varepsilon(\mathbf{q}_1) + \varepsilon(\mathbf{q}_2) - \hbar\omega}$$

- Using Feynman energies in the denominator falls back to BW-CBF

Going beyond:

Three-phonon excitations

- Include triplet fluctuations $\delta U^{(3)}(\mathbf{r}, \mathbf{r}', \mathbf{r}'')$
- “Uniform limit” is sufficient !
- Technical details complicated and messy, but we get a plausible expression:

$$\Sigma(\mathbf{q}, \omega) = \varepsilon(q) - \frac{1}{2} \sum_{\mathbf{q}_1 \mathbf{q}_2} \frac{|\langle \mathbf{q} | V^{(3)} | \mathbf{q}_1, \mathbf{q}_2 \rangle|^2}{\Sigma(\mathbf{q}_1, \omega - \varepsilon(\mathbf{q}_2)) + \Sigma(\mathbf{q}_2, \omega - \varepsilon(\mathbf{q}_1)) - \hbar\omega}$$

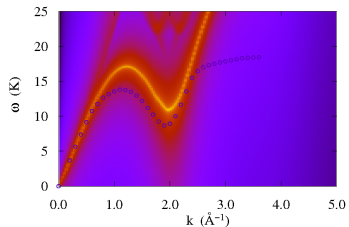
- Using Feynman energies in the denominator falls back to BW-CBF
- With some **precognition** replace the Feynman energies by full self-energies.

Three-phonon excitations

.. and beyond...

Self-consistent self-energy

- Convolution approximation (BW-CBF)

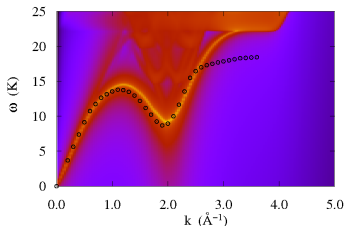


Three-phonon excitations

.. and beyond...

Self-consistent self-energy

- Convolution approximation (BW-CBF)
- 1st iteration self-consistency

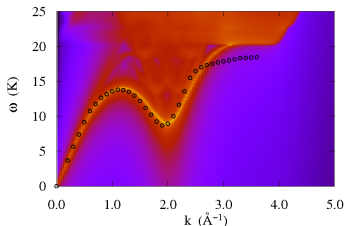


Three-phonon excitations

.. and beyond...

Self-consistent self-energy

- Convolution approximation (BW-CBF)
- 1st iteration self-consistency
- 2nd iteration self-consistency



Three-phonon excitations

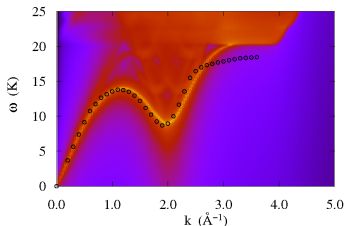
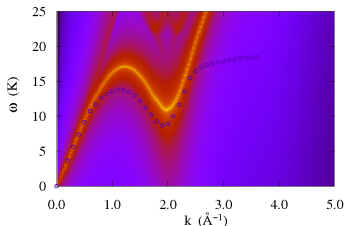
.. and beyond...

Self-consistent self-energy

- Convolution approximation (BW-CBF)
- 1st iteration self-consistency
- 2nd iteration self-consistency

Summarizing:

- Iterations quite easy



Three-phonon excitations

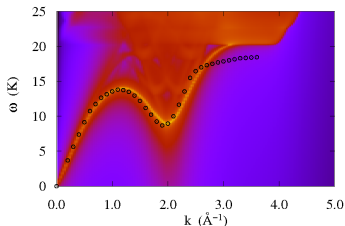
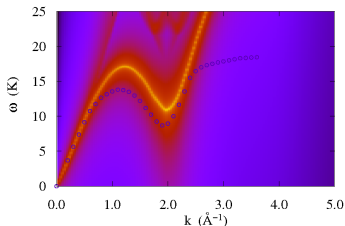
.. and beyond...

Self-consistent self-energy

- Convolution approximation (BW-CBF)
- 1st iteration self-consistency
- 2nd iteration self-consistency

Summarizing:

- Iterations quite easy
- Triplet-Vertex about 10 percent short



Three-phonon excitations

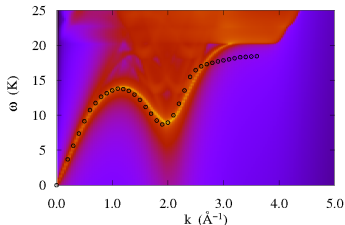
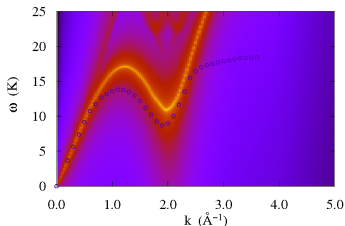
.. and beyond...

Self-consistent self-energy

- Convolution approximation (BW-CBF)
- 1st iteration self-consistency
- 2nd iteration self-consistency

Summarizing:

- Iterations quite easy
- Triplet-Vertex about 10 percent short
- Converges –expectedly– to plateau



Three-phonon excitations

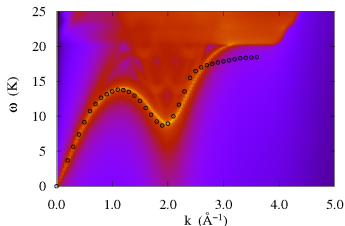
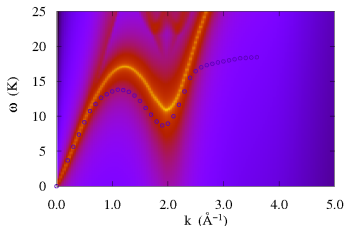
.. and beyond...

Self-consistent self-energy

- Convolution approximation (BW-CBF)
- 1st iteration self-consistency
- 2nd iteration self-consistency

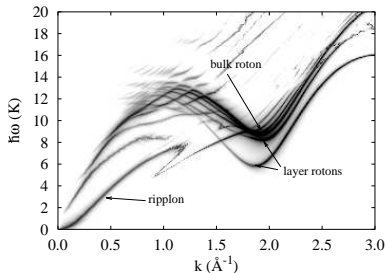
Summarizing:

- Iterations quite easy
- Triplet-Vertex about 10 percent short
- Converges –expectedly– to plateau
- Inhomogeneous generalization possible

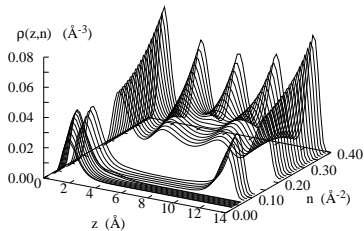


Fully confined fluids:

Layer rotors - 2D rotors ?

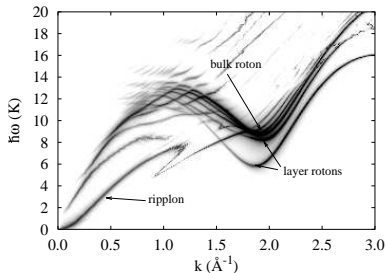


“We can identify the layer roton because its energy is like the 2D roton”

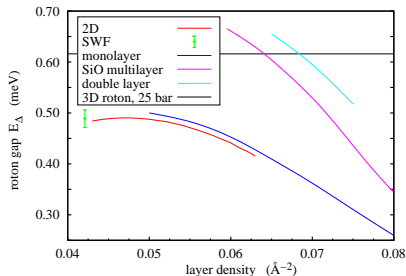


Fully confined fluids:

Layer rotors - 2D rotors ?



“We can identify the layer roton because its energy is like the 2D roton”

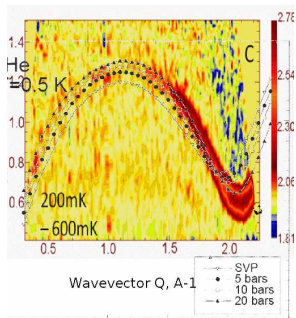


Can we ?

Fully confined fluids:

A bit on solid ^4He

- Neutron scattering on solid ^4He (Lauter and Godfrin, ILL)
- Subtract off Bragg peaks
- Clear presence of *two* rotons below bulk roton
- One of the “rotons” disappears after annealing
- Comparison with theory: System must contain at least liquid double-layers



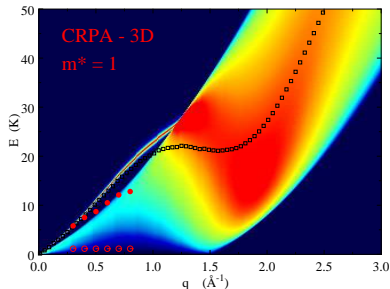
Fermions: What we are after

Understanding the dynamics of ^3He in 3D and 2D

What we were told in (some) textbooks:

Dynamic structure function:

$$S(q, \omega) = \frac{1}{\pi} \Im m \chi(q, \omega)$$



- interested (for the time being) in *density fluctuations*;

Fermions: What we are after

Understanding the dynamics of ^3He in 3D and 2D

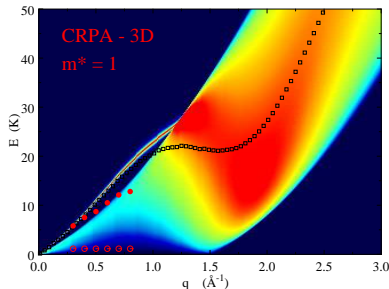
What we were told in (some) textbooks:

Dynamic structure function:

$$S(\mathbf{q}, \omega) = \frac{1}{\pi} \Im m \chi(\mathbf{q}, \omega)$$

Random Phase approximation:

$$\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q}) \chi_0(\mathbf{q}, \omega)}$$



- interested (for the time being) in *density fluctuations*;

Fermions: What we are after

Understanding the dynamics of ^3He in 3D and 2D

What we were told in (some) textbooks:

Dynamic structure function:

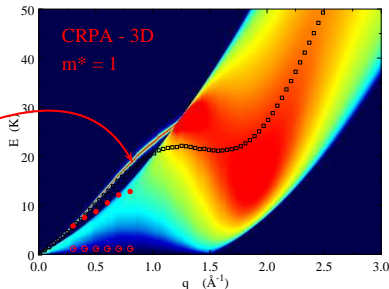
$$S(\mathbf{q}, \omega) = \frac{1}{\pi} \Im m \chi(\mathbf{q}, \omega)$$

Random Phase approximation:

$$\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q}) \chi_0(\mathbf{q}, \omega)}$$

Collective mode at

$$1 - \tilde{V}_{p-h}(\mathbf{q}) \chi_0(\mathbf{q}, \omega(\mathbf{q})) = 0$$



- interested (for the time being) in *density fluctuations*;

Fermions: What we are after

Understanding the dynamics of ^3He in 3D and 2D

What we were told in (some) textbooks:

Dynamic structure function:

$$S(\mathbf{q}, \omega) = \frac{1}{\pi} \Im m \chi(\mathbf{q}, \omega)$$

Random Phase approximation:

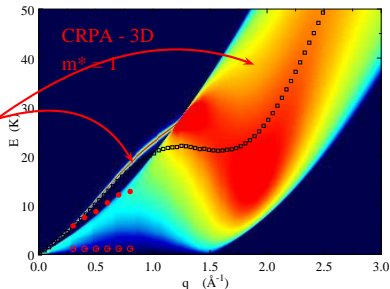
$$\chi(\mathbf{q}, \omega) = \frac{\chi_0(\mathbf{q}, \omega)}{1 - \tilde{V}_{p-h}(\mathbf{q}) \chi_0(\mathbf{q}, \omega)}$$

Collective mode at

$$1 - \tilde{V}_{p-h}(\mathbf{q}) \chi_0(\mathbf{q}, \omega(\mathbf{q})) = 0$$

Particle-hole continuum at

$$e(\mathbf{q} - \mathbf{k}_F) \leq \hbar\omega \leq e(\mathbf{q} + \mathbf{k}_F)$$



- interested (for the time being) in *density fluctuations*;

Fermions: What we are after

Understanding the dynamics of ^3He in 3D and 2D

What we were told in (some) textbooks:

Dynamic structure function:

$$S(q, \omega) = \frac{1}{\pi} \Im m \chi(q, \omega)$$

Random Phase approximation:

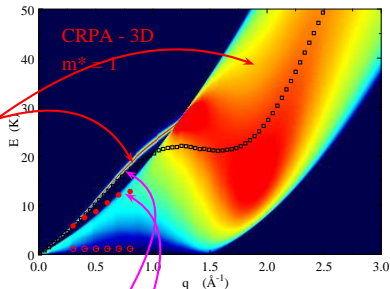
$$\chi(q, \omega) = \frac{\chi_0(q, \omega)}{1 - \tilde{V}_{p-h}(q) \chi_0(q, \omega)}$$

Collective mode at

$$1 - \tilde{V}_{p-h}(q) \chi_0(q, \omega(q)) = 0$$

Particle-hole continuum at

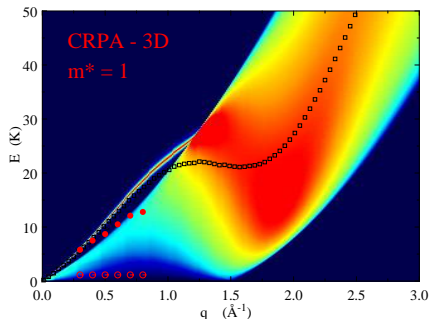
$$e(q - k_F) \leq \hbar\omega \leq e(q + k_F)$$



- interested (for the time being) in *density fluctuations*;
- similar effect as for bosons: *RPA is too high compared to experiments.*

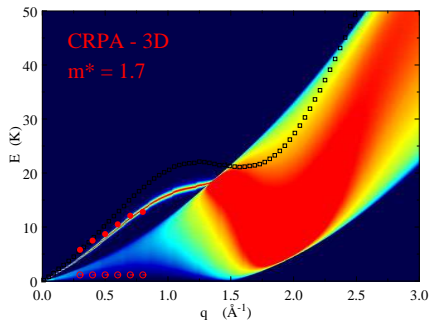
Messing with (effective) masses: the solution (or not ?)

- Recall where we started
- An effective mass can (potentially) explain $S(q, \omega)$
- **BUT** the effective mass is far from constant
- **BUT** an effective mass messes up sum rules



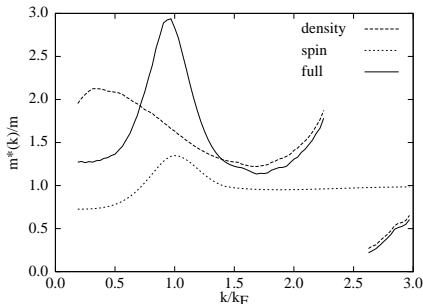
Messing with (effective) masses: the solution (or not ?)

- Recall where we started
- An effective mass can (potentially) explain $S(q, \omega)$
- **BUT** the effective mass is far from constant
- **BUT** an effective mass messes up sum rules



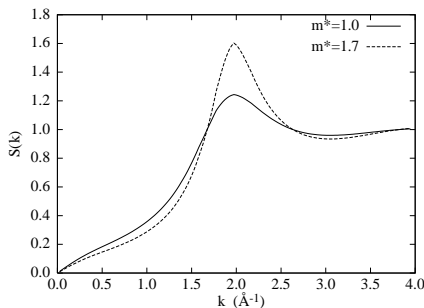
Messing with (effective) masses: the solution (or not ?)

- Recall where we started
- An effective mass can (potentially) explain $S(q, \omega)$
- **BUT** the effective mass is far from constant
- **BUT** an effective mass messes up sum rules



Messing with (effective) masses: the solution (or not ?)

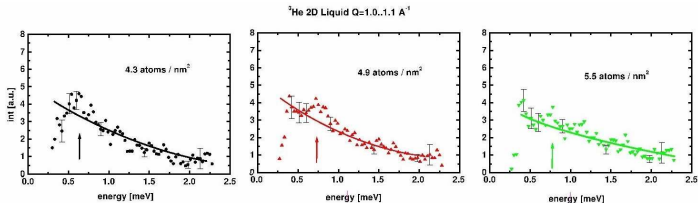
- Recall where we started
- An effective mass can (potentially) explain $S(q, \omega)$
- **BUT** the effective mass is far from constant
- **BUT** an effective mass messes up sum rules



$$m_0(q) \equiv \int_0^\infty d(\hbar\omega) S(q, \omega) = S(q)$$
$$m_1(q) \equiv \int_0^\infty d(\hbar\omega) (\hbar\omega) S(q, \omega) = \frac{\hbar^2 q^2}{2m}$$

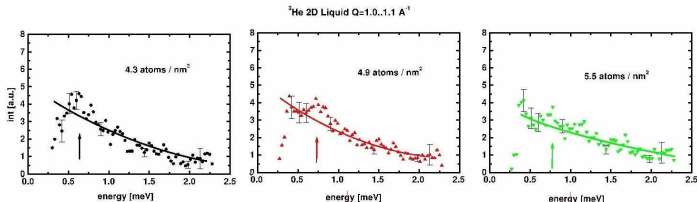
$S(k, \omega)$ in two dimensional ^3He – the key experiment

ILL/CNRS measurements: Godfrin, Lauter, Meschke



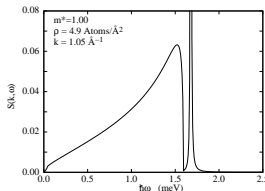
$S(k, \omega)$ in two dimensional ^3He – the key experiment

ILL/CNRS measurements: Godfrin, Lauter, Meschke



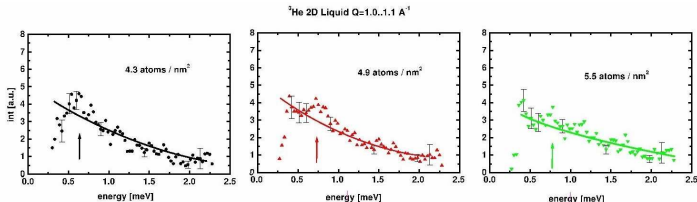
- RPA gives wrong position of the collective mode relative to the continuum;

$$\frac{m^*}{m} = 1$$



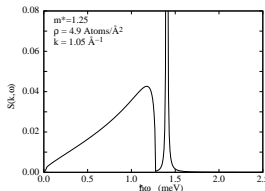
$S(k, \omega)$ in two dimensional ^3He – the key experiment

ILL/CNRS measurements: Godfrin, Lauter, Meschke



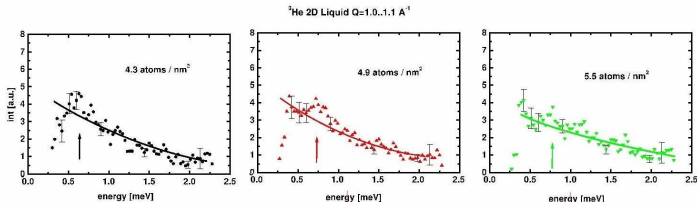
- RPA gives wrong position of the collective mode relative to the continuum;
- Messing with m^* does not help !

$$\frac{m^*}{m} = 1.25$$



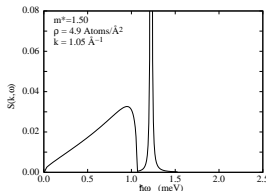
$S(k, \omega)$ in two dimensional ^3He – the key experiment

ILL/CNRS measurements: Godfrin, Lauter, Meschke



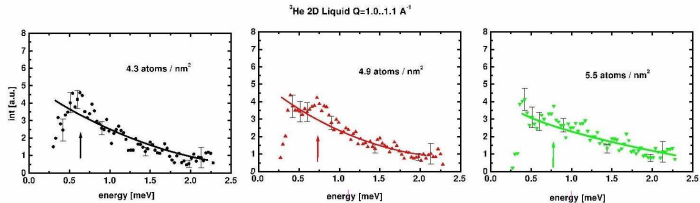
- RPA gives wrong position of the collective mode relative to the continuum;
- Messing with m^* does not help !

$$\frac{m^*}{m} = 1.50$$



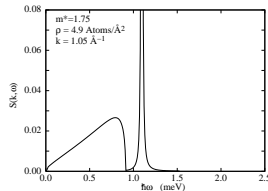
$S(k, \omega)$ in two dimensional ^3He – the key experiment

ILL/CNRS measurements: Godfrin, Lauter, Meschke



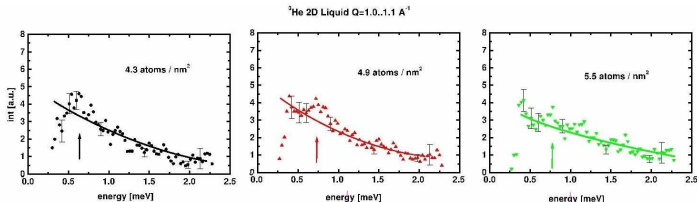
- RPA gives wrong position of the collective mode relative to the continuum;
- Messing with m^* does not help !

$$\frac{m^*}{m} = 1.75$$



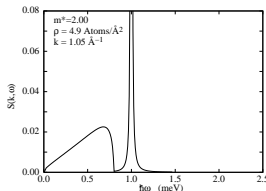
$S(k, \omega)$ in two dimensional ^3He – the key experiment

ILL/CNRS measurements: Godfrin, Lauter, Meschke



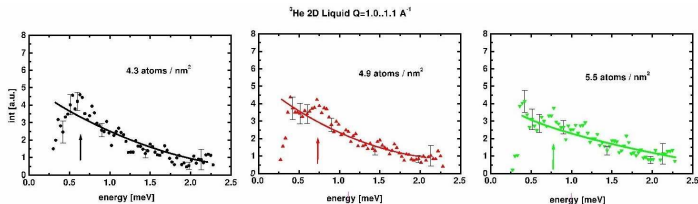
- RPA gives wrong position of the collective mode relative to the continuum;
- Messing with m^* does not help !

$$\frac{m^*}{m} = 2.00$$

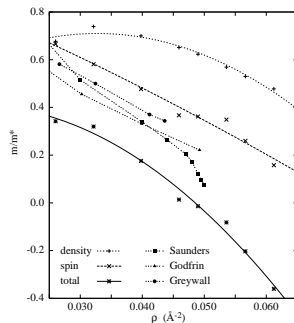


More observations

More observations

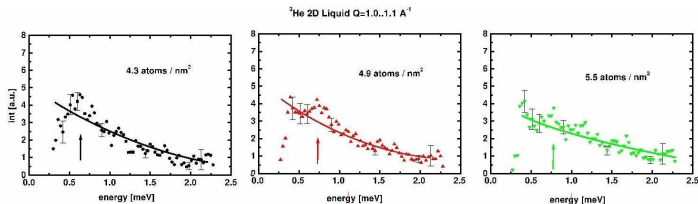


- m^* grows with density – RPA would then predict that the collective mode comes down with density. But the collective mode goes up

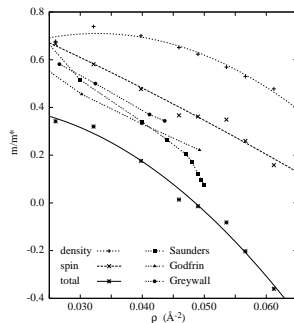


$S(k, \omega)$ in two dimensional ^3He

More observations

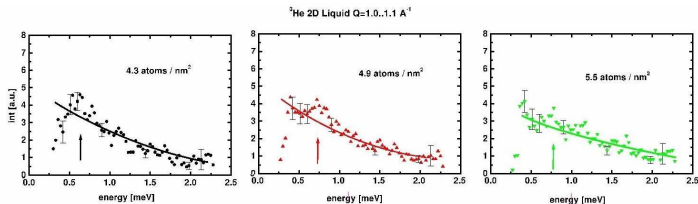


- m^* grows with density – RPA would then predict that the collective mode comes down with density. But the collective mode goes up
- We must either lower the collective mode through the continuum or demonstrate a significant “pair excitation continuum”

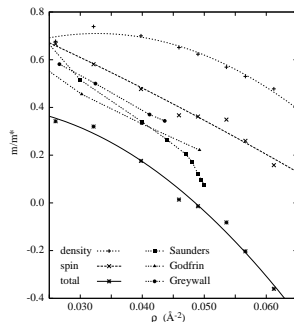


More observations

More observations



- m^* grows with density – RPA would then predict that the collective mode comes down with density. But the collective mode goes up
- We must either lower the collective mode through the continuum or demonstrate a significant “pair excitation continuum”
- There is every reason to expect that “pair excitations” are in ^3He just as important as in ^4He .



Pair excitations for Fermions

The big challenge

Recall EOM for bosons:

Wave function for excited states:

$$|\Psi(t)\rangle = e^{-iE_0 t/\hbar} \frac{F e^{\frac{1}{2}\delta U} |\Psi_0\rangle}{\langle \Psi_0 | e^{\frac{1}{2}\delta U^\dagger} F^\dagger F e^{\frac{1}{2}\delta U} |\Psi_0\rangle]^{1/2}},$$

$|\Psi_0\rangle$: model ground state, $\delta U(t)$: excitation operator

Bosons:

$$\delta U(t) = \sum_i \delta u^{(1)}(\mathbf{r}_i; t) + \sum_{i<j} \delta u^{(2)}(\mathbf{r}_i, \mathbf{r}_j; t) + \dots$$

Fermions:

$$\delta U(t) = \sum_{\mathbf{p}, \mathbf{h}} \delta u_{\mathbf{p}, \mathbf{h}}^{(1)}(t) a_{\mathbf{p}}^\dagger a_{\mathbf{h}} + \sum_{\mathbf{p}, \mathbf{h}, \mathbf{p}', \mathbf{h}'} \delta u_{\mathbf{p}, \mathbf{h}, \mathbf{p}', \mathbf{h}'}^{(2)}(t) a_{\mathbf{p}}^\dagger a_{\mathbf{p}'}^\dagger a_{\mathbf{h}} a_{\mathbf{h}'}$$

- The problem is the sheer number of variables together with exchange diagrams !

Pair excitations for Fermions

The essence of the theory — Thouless' book and beyond

- $\delta u_{\mathbf{p}\mathbf{h},\mathbf{p}'\mathbf{h}'}^{(2)}(t) = 0$, $F = 1$, weakly interacting Hamiltonian:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V_{ph',hp'}^{(A)} & V_{pp',hh'}^{(B)} \\ V_{hh,pp'}^{(B)} & e_{ph} + \hbar\omega + V_{hp',ph'}^{(A)} \end{pmatrix} \begin{pmatrix} \delta u_{ph}^{(1)} \\ \delta u_{ph}^{*(1)} \end{pmatrix} = \begin{pmatrix} U_{ph}^{(ext)} \\ U_{ph}^{*(ext)} \end{pmatrix}$$

Pair excitations for Fermions

The essence of the theory — Thouless' book and beyond

- $\delta u_{\mathbf{ph},\mathbf{p}'\mathbf{h}'}^{(2)}(t) = 0$, $F = 1$, weakly interacting Hamiltonian:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V_{ph',hp'}^{(A)} & V_{pp',hh'}^{(B)} \\ V_{hh,pp'}^{(B)} & e_{ph} + \hbar\omega + V_{hp',ph'}^{(A)} \end{pmatrix} \begin{pmatrix} \delta u_{ph}^{(1)} \\ \delta u_{ph}^{*(1)} \end{pmatrix} = \begin{pmatrix} U_{ph}^{(ext)} \\ U_{ph}^{*(ext)} \end{pmatrix}$$

- Set $V_{ph',hp'}^{(A)} = V_{pp',hh'}^{(B)} = V_{ph}(q)$ leads to ordinary RPA.

Pair excitations for Fermions

The essence of the theory — Thouless' book and beyond

- $\delta u_{\mathbf{ph},\mathbf{p}'\mathbf{h}'}^{(2)}(t) = 0$, $F = 1$, weakly interacting Hamiltonian:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V_{ph',hp'}^{(A)} & V_{pp',hh'}^{(B)} \\ V_{hh,pp'}^{(B)} & e_{ph} + \hbar\omega + V_{hp',ph'}^{(A)} \end{pmatrix} \begin{pmatrix} \delta u_{ph}^{(1)} \\ \delta u_{ph}^{*(1)} \end{pmatrix} = \begin{pmatrix} U_{ph}^{(ext)} \\ U_{ph}^{*(ext)} \end{pmatrix}$$

- Set $V_{ph',hp'}^{(A)} = V_{pp',hh'}^{(B)} = V_{ph}(q)$ leads to ordinary RPA.
- $F \neq 1$: Replace bare interaction matrix elements by effective screened matrix elements: Makes theory applicable for strongly interacting systems. Omitting exchanges leads to “correlated” RPA.

Pair excitations for Fermions

The essence of the theory — Thouless' book and beyond

- $\delta u_{\mathbf{ph},\mathbf{p}'\mathbf{h}'}^{(2)}(t) = 0$, $F = 1$, weakly interacting Hamiltonian:

$$\begin{pmatrix} e_{ph} - \hbar\omega + V_{ph',hp'}^{(A)} & V_{pp',hh'}^{(B)} \\ V_{hh,pp'}^{(B)} & e_{ph} + \hbar\omega + V_{hp',ph'}^{(A)} \end{pmatrix} \begin{pmatrix} \delta u_{ph}^{(1)} \\ \delta u_{ph}^{*(1)} \end{pmatrix} = \begin{pmatrix} U_{ph}^{(ext)} \\ U_{ph}^{*(ext)} \end{pmatrix}$$

- Set $V_{ph',hp'}^{(A)} = V_{pp',hh'}^{(B)} = V_{ph}(q)$ leads to ordinary RPA.
- $F \neq 1$: Replace bare interaction matrix elements by effective screened matrix elements: Makes theory applicable for strongly interacting systems. Omitting exchanges leads to “correlated” RPA.
- Keep $\delta u_{\mathbf{ph},\mathbf{p}'\mathbf{h}'}^{(2)}(t)$: **Makes all matrix elements energy dependent**, does not change the single particle spectrum.

Pair excitations for Fermions

The essence of the theory — Thouless' book and beyond

- $\delta u_{\mathbf{ph},\mathbf{p}'\mathbf{h}'}^{(2)}(t) = 0$, $F = 1$, weakly interacting Hamiltonian:

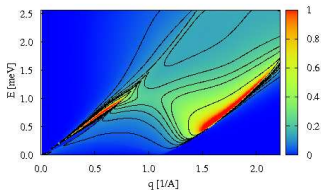
$$\begin{pmatrix} e_{ph} - \hbar\omega + V_{ph',hp'}^{(A)} & V_{pp',hh'}^{(B)} \\ V_{hh,pp'}^{(B)} & e_{ph} + \hbar\omega + V_{hp',ph'}^{(A)} \end{pmatrix} \begin{pmatrix} \delta u_{ph}^{(1)} \\ \delta u_{ph}^{*(1)} \end{pmatrix} = \begin{pmatrix} U_{ph}^{(ext)} \\ U_{ph}^{*(ext)} \end{pmatrix}$$

- Set $V_{ph',hp'}^{(A)} = V_{pp',hh'}^{(B)} = V_{ph}(q)$ leads to ordinary RPA.
- $F \neq 1$: Replace bare interaction matrix elements by effective screened matrix elements: Makes theory applicable for strongly interacting systems. Omitting exchanges leads to “correlated” RPA.
- Keep $\delta u_{\mathbf{ph},\mathbf{p}'\mathbf{h}'}^{(2)}(t)$: **Makes all matrix elements energy dependent**, does not change the single particle spectrum.
- Knowing how to do triplets was a big help

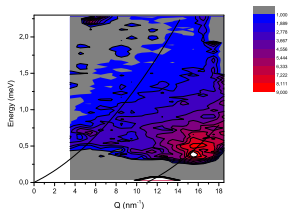
Pair excitations for Fermions

Results for 2D ^3He

Theory



ILL/CNRS experiment



- Pair fluctuations move the zero sound mode to the right energy *without* need to shift the spectrum
- two-particle-two-hole continuum softens single-particle continuum
- We do **not** claim that proper self-energy inclusions are unimportant;
- Further work is needed to make the connection between G(0)W and CBF more transparent;

- Much technical progress with multiparticle fluctuations

Summary

- Much technical progress with multiparticle fluctuations
- Two examples where microscopic many-body theory explained data

Summary

- Much technical progress with multiparticle fluctuations
- Two examples where microscopic many-body theory explained data
- Simplistic paradigms (“effective mass” describe the physics of 2D ^3He (and, hence, most likely of other Fermi systems) *incorrectly*.

Summary

- Much technical progress with multiparticle fluctuations
- Two examples where microscopic many-body theory explained data
- Simplistic paradigms (“effective mass” describe the physics of 2D ^3He (and, hence, most likely of other Fermi systems) *incorrectly*.
- Many-Body physics can be quantitative without undue parameter fitting;

Summary

- Much technical progress with multiparticle fluctuations
- Two examples where microscopic many-body theory explained data
- Simplistic paradigms (“effective mass” describe the physics of 2D ^3He (and, hence, most likely of other Fermi systems) *incorrectly*.
- Many-Body physics can be quantitative without undue parameter fitting;
- Quantitative microscopic many-body theory can be simple, but sometimes “Mother Nature” wants it complicated;

Thanks to collaborators in this project

V. Apaja	Jyväskylä, JKU Linz
H. M. Böhm	JKU Linz
C. E. Campbell	Univ. Minnesota
H. Godfrin	CNRS Grenoble
H. J. Lauter	ILL Grenoble
M. Panholzer	JKU Linz